

# UTILITY PATENT APPLICATION TRANSMITTAL

*Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR §1.53(b))*

JCS 564 U.S. PTO  
12/01/99

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12/01/99

**ADDRESS TO:**

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

Attorney Docket No. 202266

First Named Inventor Carroll et al.

Express Mail No. EL 305738388 US

JCS 564 U.S. PTO  
09/452/21

**APPLICATION ELEMENTS**

1.  Utility Transmittal Form
2.  Specification (including coversheet, claims and abstract) [Total Pages 34]
3.  Drawings [Total Sheets 7]
4.  Combined Declaration and Power of Attorney [Total Pages ]  
 a.  Newly executed  
 b.  Copy from prior application  
**[Note Box 5 below]**  
 i.  Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5.  Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program
7.  Nucleotide and/or Amino Acid Sequence Submission  
 a.  Computer Readable Copy  
 b.  Paper Copy  
 c.  Statement verifying above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet and document(s))
9.  Power of Attorney
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)  
 Form PTO-1449  
 Copies of References
12.  Preliminary Amendment
13.  Return Receipt Postcard (Should be specifically itemized)
14.  Small Entity Statement(s)  
 Enclosed  
 Statement filed in prior application; status still proper and desired
15.  Certified Copy of Priority Document(s)
16.  Other: Check in the amount of \$1,456.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information in (a) and (b) below:  
 (a)  Continuation  Divisional  Continuation-in-part of prior application Serial No. \_\_\_\_\_  
     Prior application information: Examiner \_\_\_\_\_ ; Group Art Unit: \_\_\_\_\_  
 (b) Preliminary Amendment: Relate Back - 35 USC §120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:  
     "This is a  continuation  divisional of copending application(s)  
      Serial No. \_\_\_\_\_, filed on \_\_\_\_\_  
      International Application, filed on \_\_\_\_\_, and which designates the U.S."

**APPLICATION FEES**

| BASIC FEE   |              |              |                 | \$ 760.00           |
|---|--------------|--------------|-----------------|---------------------|
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE            |                     |
| Total Claims  | 37           | -20=         | 17              | x \$18.00 \$ 306.00 |
| Independent Claims  | 8            | - 3=         | 5               | x \$78.00 \$ 390.00 |
| <input type="checkbox"/> Multiple Dependent Claims(s) if applicable |              |              | \$ +\$260.00 \$ |                     |
| Total of above calculations =                                       |              |              |                 | \$1,456.00          |
| Reduction by 50% for filing by small entity =                       |              |              |                 | \$( )               |
| <input type="checkbox"/> Assignment fee if applicable               |              |              | + \$40.00 \$    |                     |
| TOTAL =   |              |              |                 | \$1,456.00          |

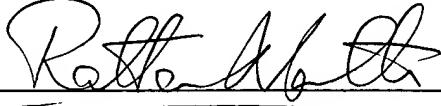
## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 202266

19.  Please charge my Deposit Account No. 12-1216 in the amount of \$ \_\_\_\_\_.
20.  A check in the amount of \$1,456.00 is enclosed.
21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a.  Fees required under 37 CFR §1.16.
  - b.  Fees required under 37 CFR §1.17.
22.  The Commissioner is hereby generally authorized under 37 CFR §1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR §1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

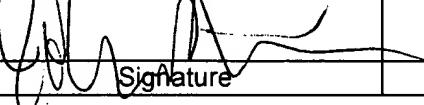
## 23. CORRESPONDENCE ADDRESS

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|           |   |
|-----------|---|
| Name      | Rattan Nath   |
| Signature |  |
| Date      | December 1, 1999  |

## Certificate of Mailing Under 37 CFR §1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR §1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

|   |  |                  |
|---|--|------------------|
|  |  | December 1, 1999 |
| Name of Person Signing  | Signature  | Date             |

Attorney Docket No.

202266

**PATENT APPLICATION**

Invention Title:

EXTERNAL RESOURCE FILES FOR APPLICATION DEVELOPMENT AND MANAGEMENT

Inventors:

Mark Carroll 1614 E. Mercer S Seattle, WA 98112

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| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |
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Ian Ellison-Taylor US 4729 University Seattle, WA 98105  
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| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |
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| INVENTOR'S NAME | CITIZENSHIP | CITY OF RESIDENCE | STATE or FOREIGN COUNTRY |
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|-----------------|-------------|-------------------|--------------------------|

Be it known that the inventors listed above have invented a certain new and useful invention with the title shown above of which the following is a specification.